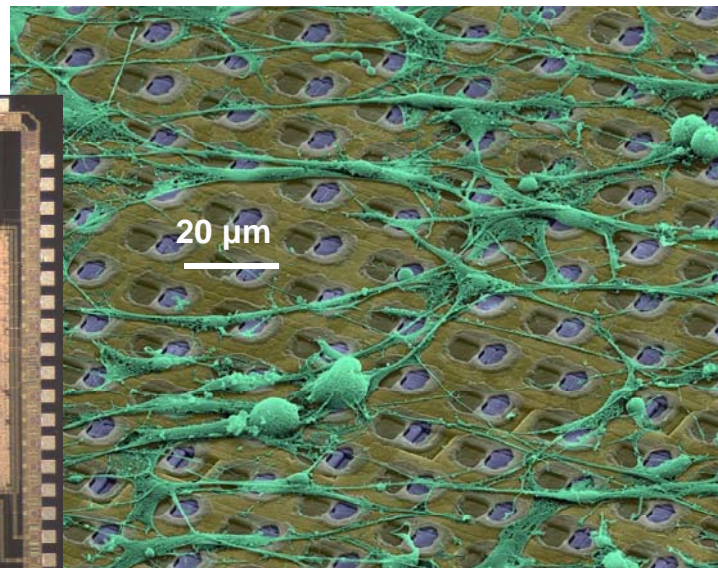
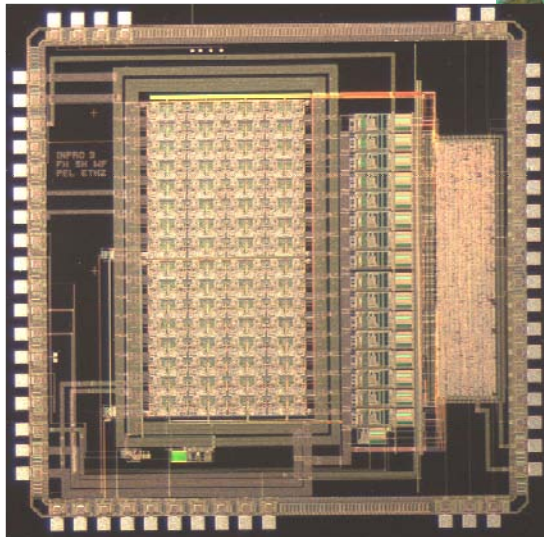


# Direktes Ankoppeln von Hirnzellen an Mikroelektronik



D-BSSE



Eidgenössische Technische Hochschule Zürich  
Department Biosystems Science and Engineering, Basel  
Andreas Hierlemann

Slide 1



## Outline

### Bioelectronics Fundamentals

- electrogenic cells
- action potentials
- measurements of electric activity

### CMOS Bioelectronics

- CMOS, why CMOS?
- bioelectronic chips

### High-density CMOS Bioelectronic Chip

- high-density electrode realization
- circuitry, system and packaging

### Cell Recordings

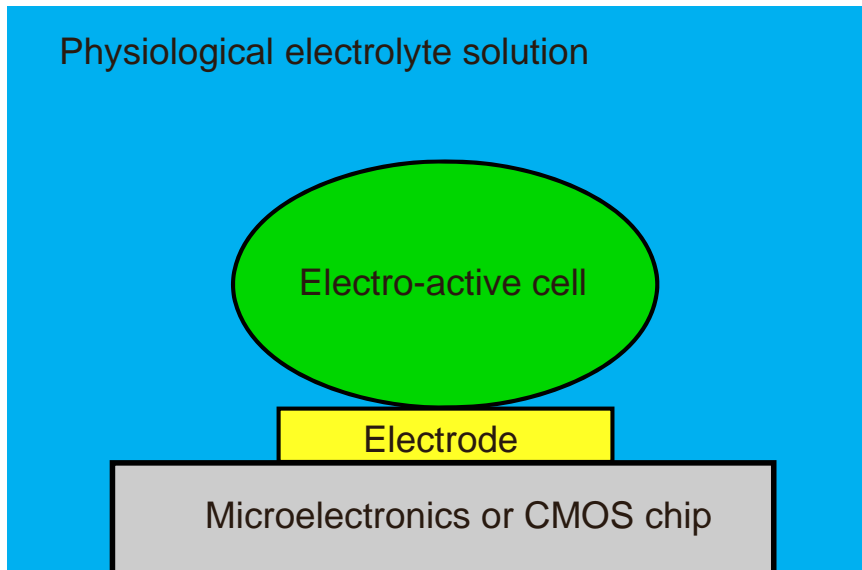
- single-cell localization in slices
- tracing of axonal signal propagation

Eidgenössische Technische Hochschule Zürich  
Department Biosystems Science and Engineering, Basel  
Andreas Hierlemann

Slide 2



# Interfacing Cells with Microelectronics

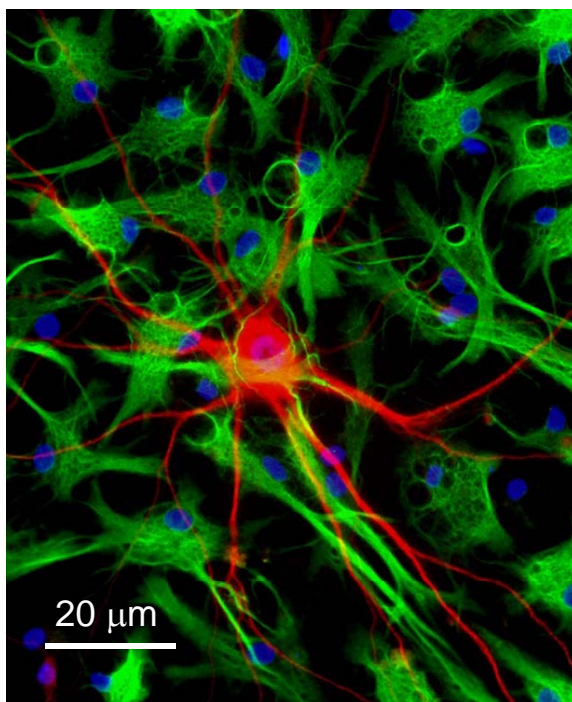


**Ionic species** and charge transport

Na<sup>+</sup>, K<sup>+</sup> ion mobility:  
 $5.2 - 7.6 \times 10^{-8} \text{ m}^2/\text{Vs}$

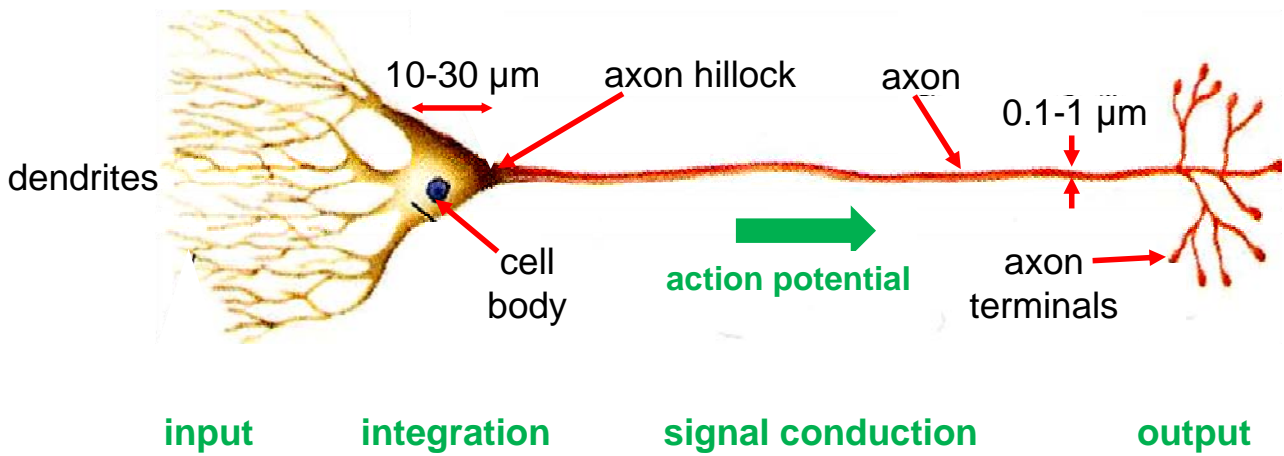
**Electrons**, mobility in Si:  
 $0.15 \text{ m}^2/\text{Vs}$

## Neurons or Brain Cells

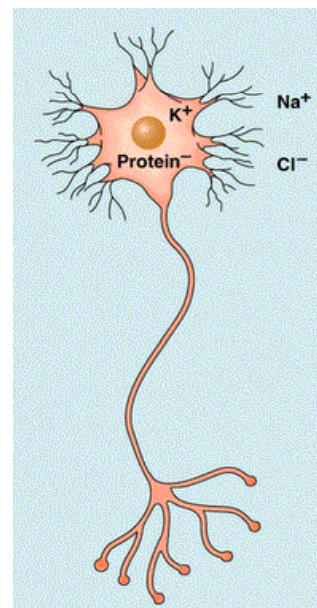
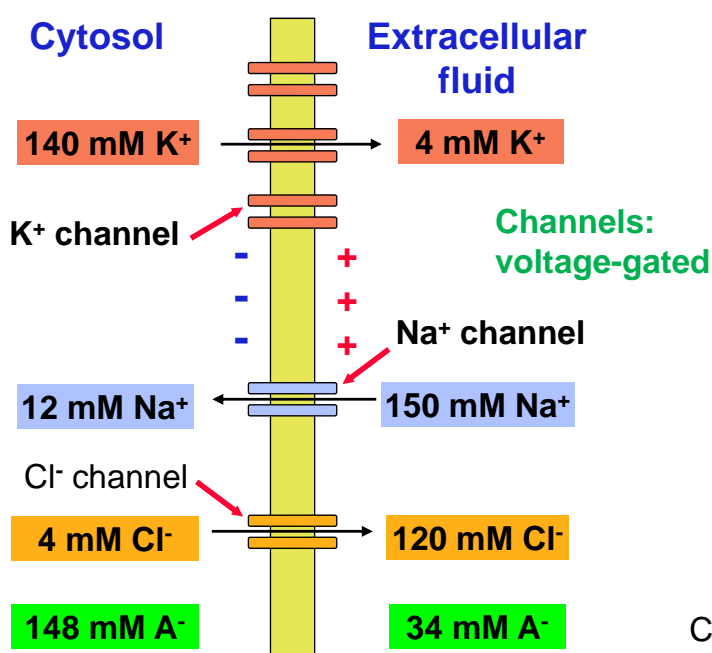


- Human brain:  $10^{12}$  neurons
- Neuron connected to more than 1000 others
- Neurons: electro-active cells (K<sup>+</sup>, Na<sup>+</sup>)
- Neuronal communication:
  - electric signals, **action potentials**
  - chemical signals between cells (synapses)

# Neurons

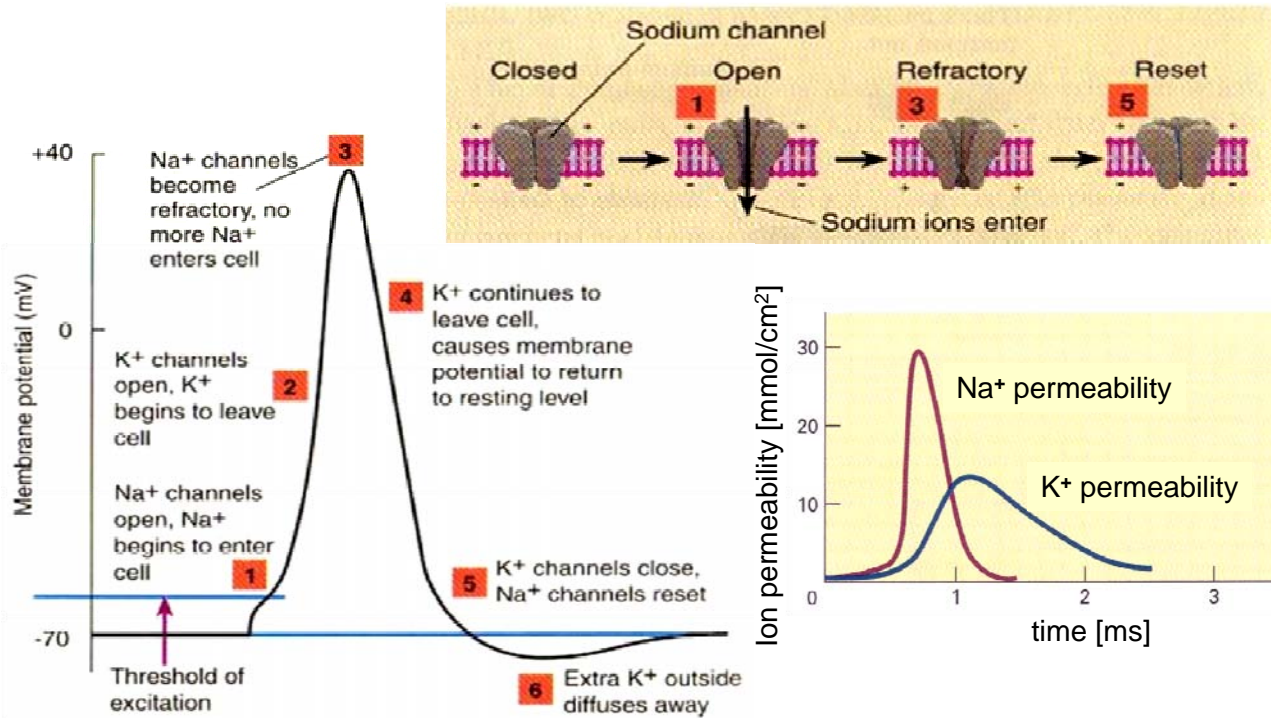


# Membrane Potential

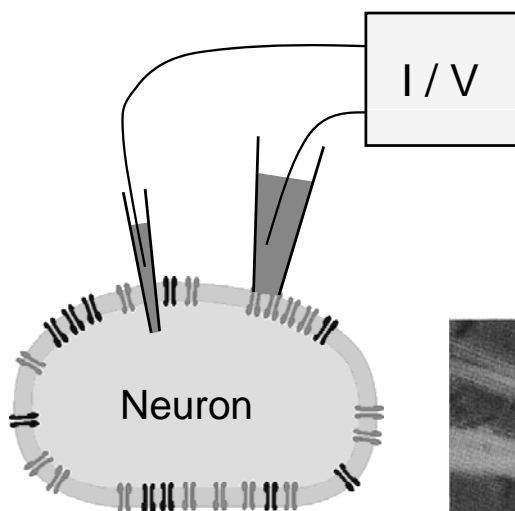


Cell resting potential about -70mV, mainly due to open  $\text{K}^+$ -channels

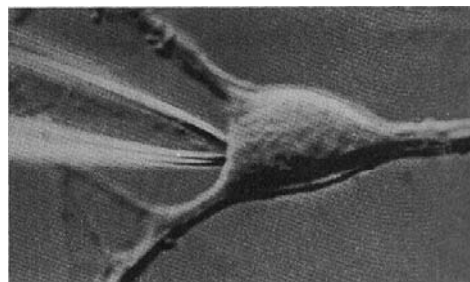
# Action Potential



# Standard Method: Patch Clamp

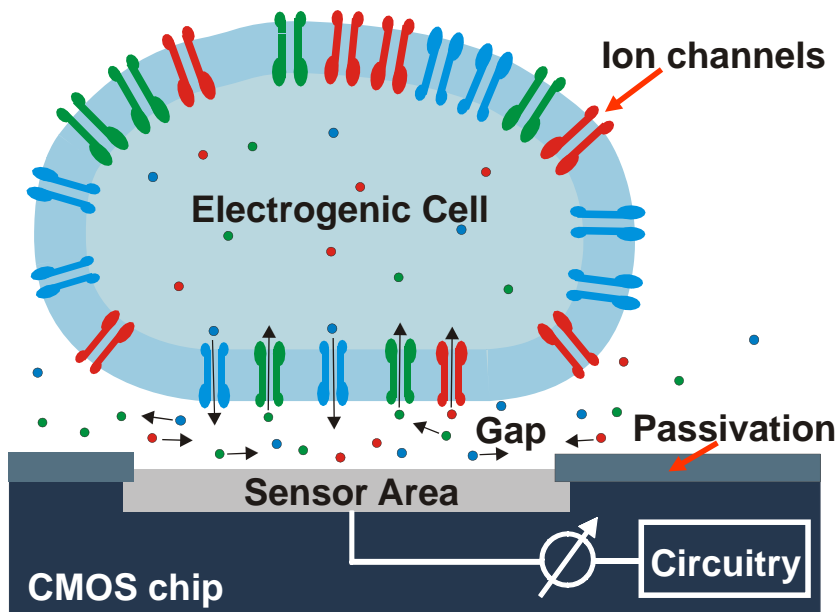


- + Transmembrane measurement
- + Action potential: 100 mV<sub>PP</sub>
- + Single ion channels
- Invasive method
- Reduced life time
- Limited number of cells

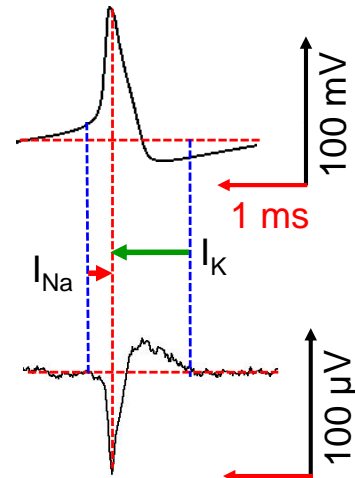


Adapted from:  
 Lodish et al. 1995

# Extracellular Recording

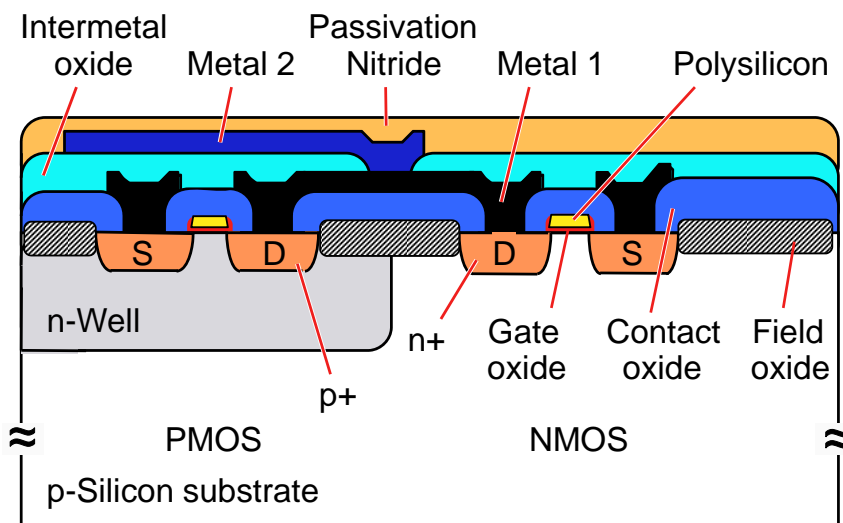


Intracellular signal



Extracellular signal

# Microelectronics Technology: Complementary Metal Oxide Semiconductor (CMOS)



**Materials:**

- Silicon substrate
- Doped silicon
- Polysilicon
- Silicon oxide layers
- Silicon nitride layers
- Aluminum metal

# Why CMOS or Microelectronics Technology ?

## Signal Quality

- On-chip signal conditioning close to signal source, **“enabling”** function: Miniaturization without performance loss
- Capability to handle small feature size and minute signals

## Connectivity

- On-chip multiplexing, signals from 10'000 transducers via few connections
- Capability of massively parallel or multi-parameter detection

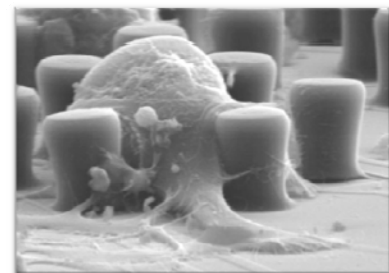
## Usability

- Standard interfaces and data handling, experimental protocols
- High-performance systems (standalone) that are easy to use (nonexperts)

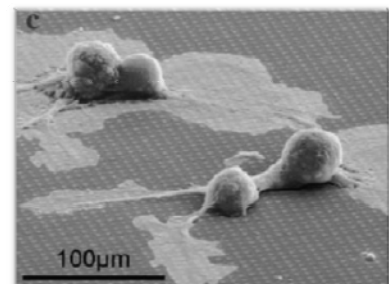
## Standard Semiconductor Technology (CMOS)

# High-Density Electrode Arrays

- **Why high electrode density?**
  - Details of signal evolution
  - Subcellular resolution
  - Dynamics on network level
- **How to achieve (sub)-cellular resolution**
  - Constraining the cells
  - High-density electrode array

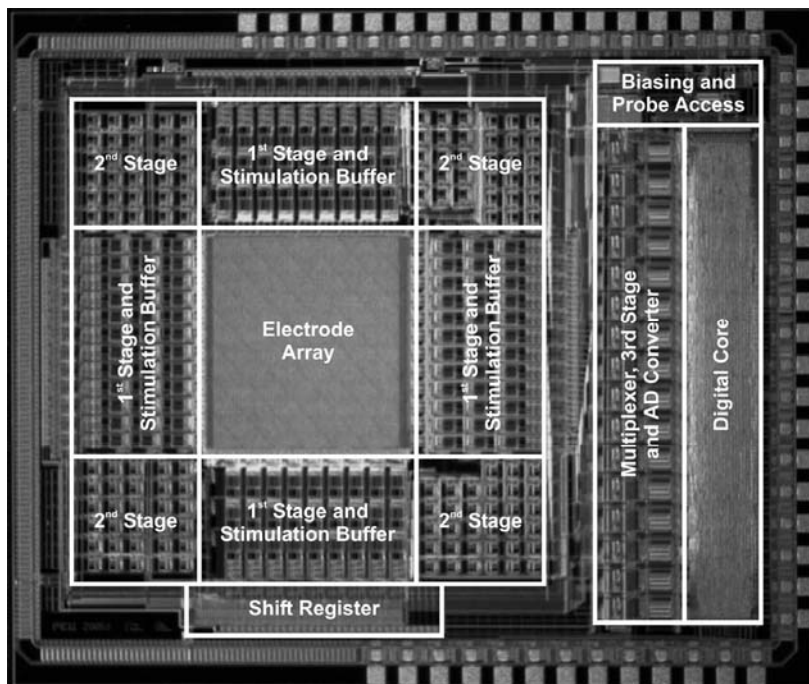


G. Zeck et al., *PNAS*, 2001



A. Lambacher et al., *Applied Phys A*, 2004

# High-Density Chip Micrograph



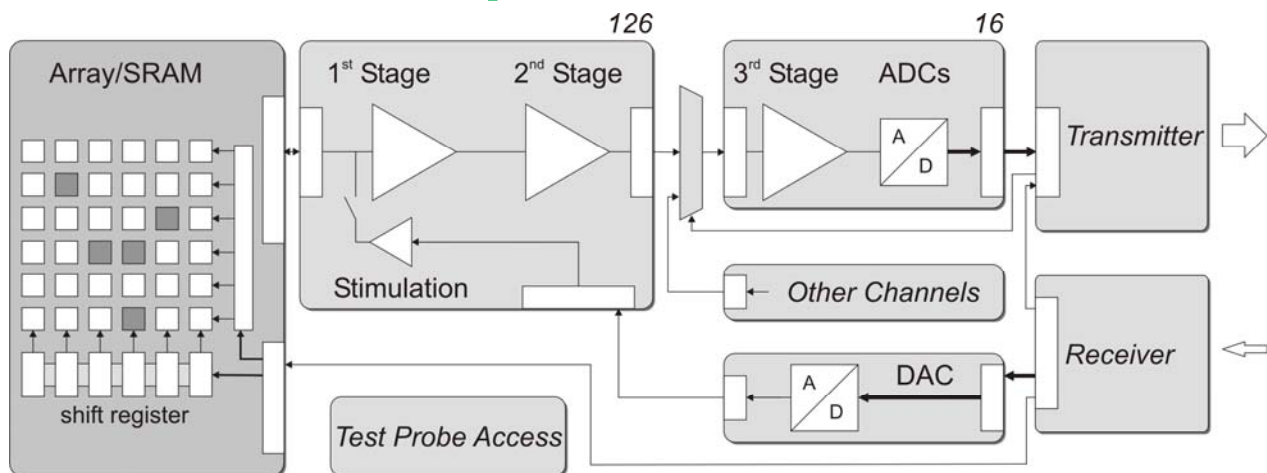
- High-density electrodes
- High-performance electronics
- Electrode pitch: 17  $\mu\text{m}$
- Electrode diameter: 7  $\mu\text{m}$
- Sampling at 20 kHz
- 126 Electrodes simultaneously readable from 11'016
- Chip size: 7.1 x 6.5 mm<sup>2</sup>

Eidgenössische Technische Hochschule Zürich  
 Department Biosystems Science and Engineering, Basel  
 Andreas Hierlemann

Slide 13



## Chip Schematic



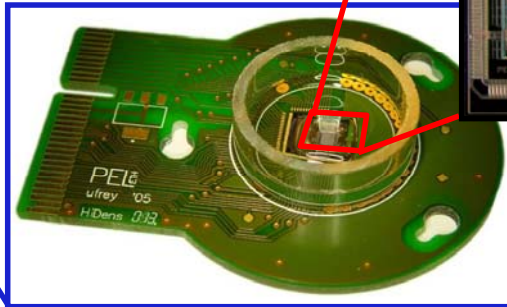
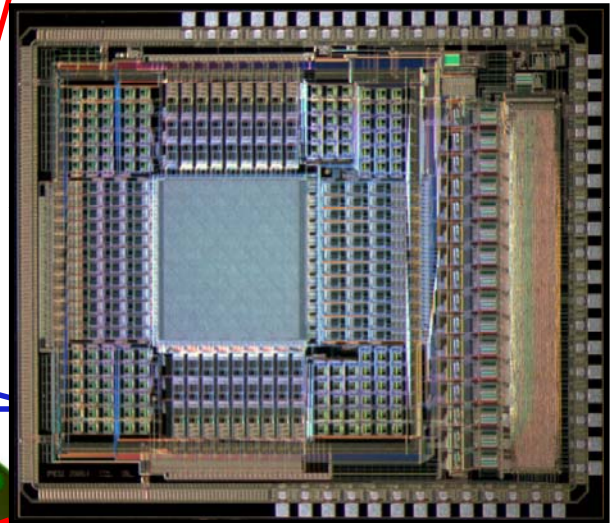
- Gain: 1 - 10'000 in 18 steps
- HP: 0.3Hz, first order
- LP: 4kHz–14kHz, second order
- ADC: 8b, SA, 20kHz/channel
- DAC: 8b (stimulation)
- Supply: 3.3V (digital), 5V (analog)
- CMOS: 0.6 $\mu\text{m}$ , 3M2P
- Transmitter
  - 3.2MHz, 9b
  - MUX, ADC control
  - frame counter, CRC
- Receiver
  - serial
  - decode commands
  - configure array, settings

Eidgenössische Technische Hochschule Zürich  
 Department Biosystems Science and Engineering, Basel  
 Andreas Hierlemann

Slide 14



# Fabricated & Packaged System



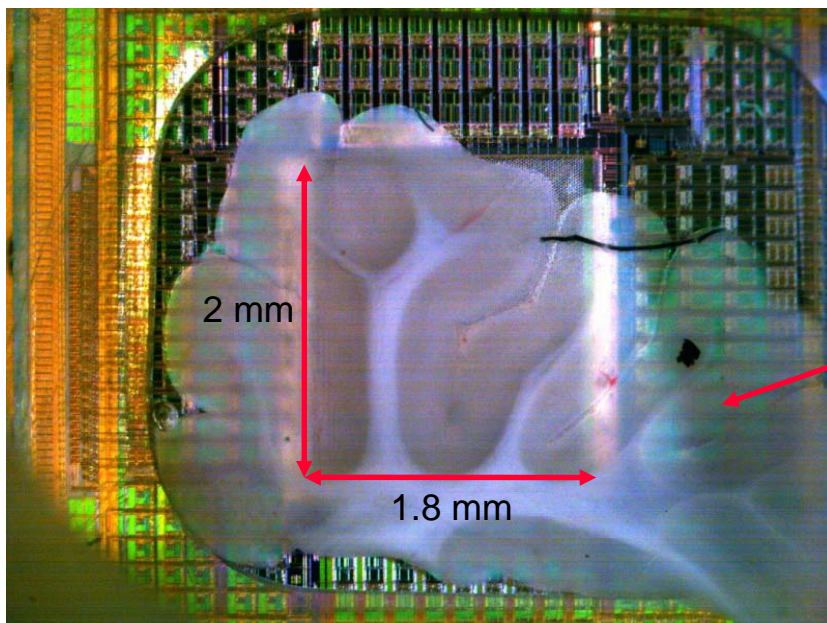
- Cultivation time: Months
- Re-usable

Eidgenössische Technische Hochschule Zürich  
Department Biosystems Science and Engineering, Basel  
Andreas Hierlemann

Slide 15



# Rat Acute Parasagittal Cerebellar Slice



Long-Evans rat  
slice

*Cooperation: Prof. U. Egert,  
University of Freiburg, D*

Eidgenössische Technische Hochschule Zürich  
Department Biosystems Science and Engineering, Basel  
Andreas Hierlemann

Slide 16





# Electrical Activity Map

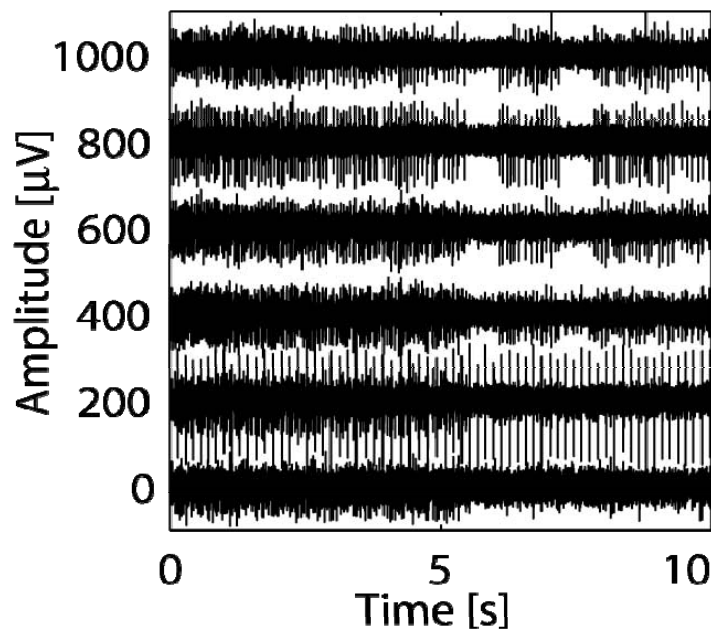
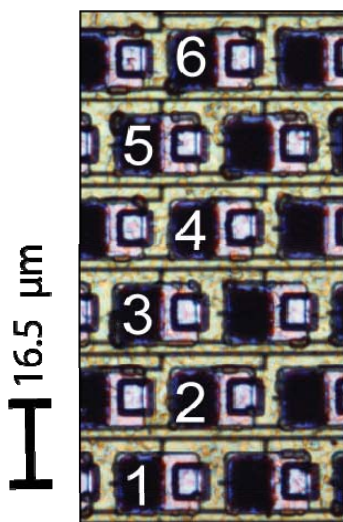


0.3 mm

## Spontaneous activity

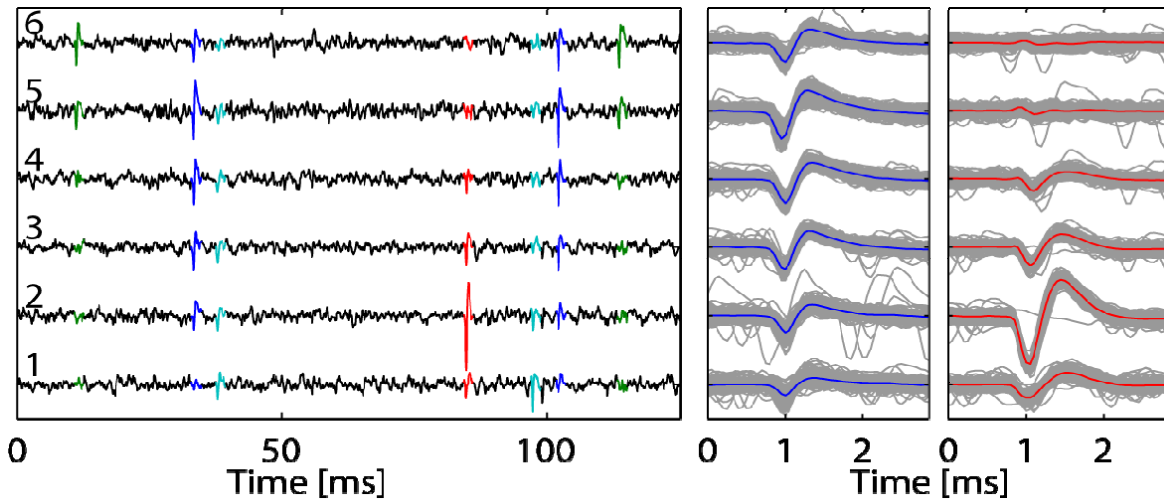
- x Probed electrodes
- Events detected with a threshold of  $\pm 36 \mu\text{V}$  and an event rate of:
  - 0.2 Hz – 1 Hz
  - 1 Hz – 10 Hz
  - 10 Hz – 100 Hz
  - > 100 Hz

# Acute Brain Slices: Spike Traces



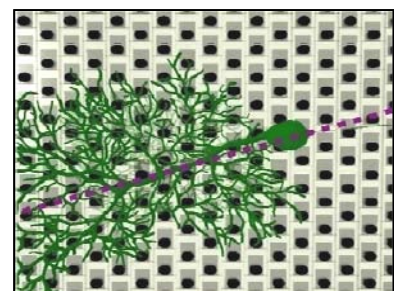
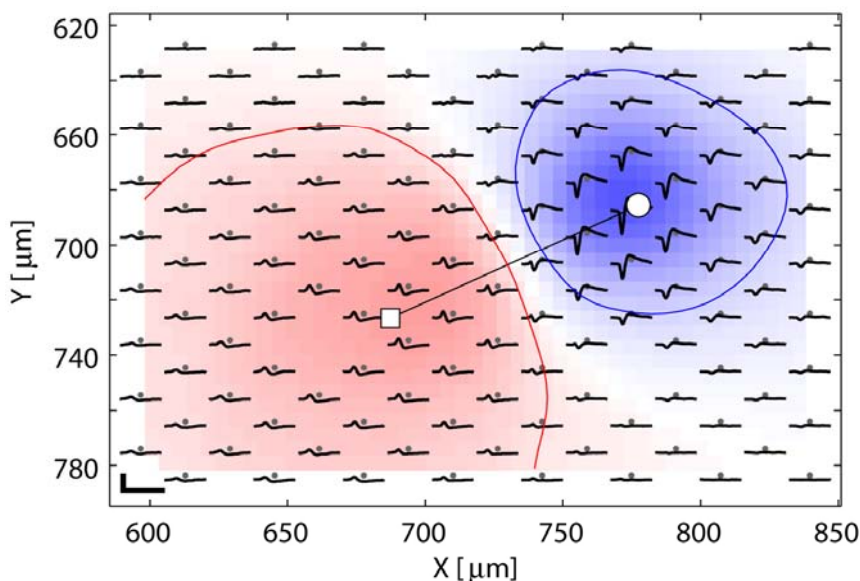
- Long-Evans rat
- Parasagittal cerebellar slice
- Spontaneous activity

# Spike Sorting



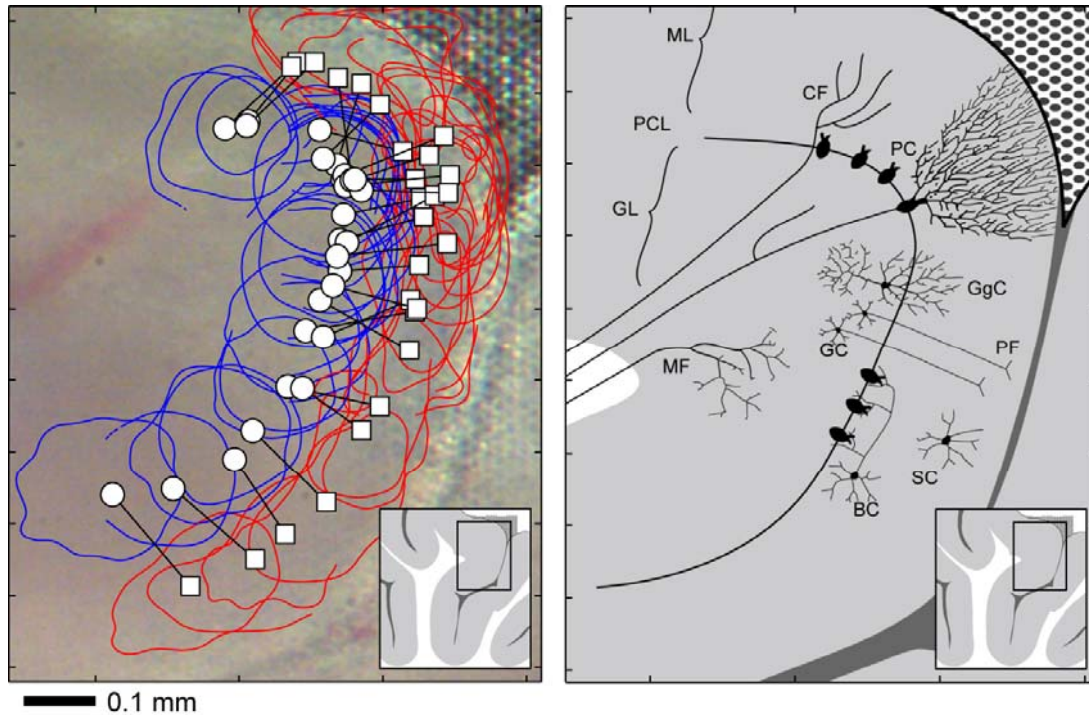
- Assign 'events' according to signal shape to different neurons
- High electrode density allows to apply *Independent Component Analysis (ICA)*
- Output:
  - time stamps
  - spike-triggered averages, footprints

# Purkinje Cell: Extracellularly Measured Action Potential



- Center of negative peaks
- Equipotential at half min. peak ( $-63 \mu\text{V}$ )
- Center of positive peaks
- Equipotential at half max. peak ( $18 \mu\text{V}$ )

# Localization of Identified Cells

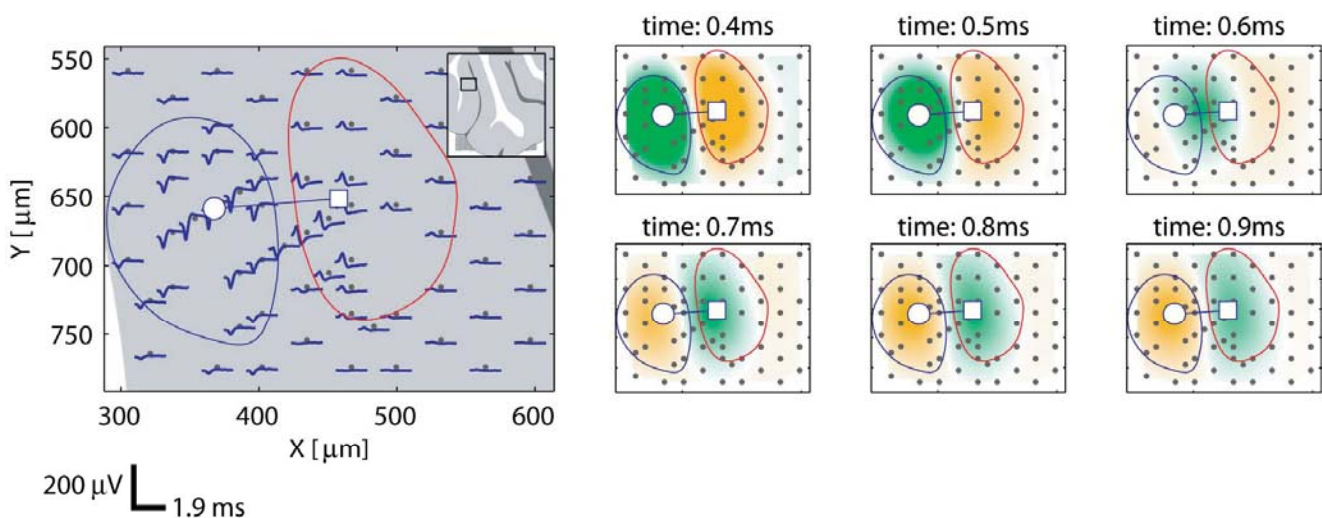


Eidgenössische Technische Hochschule Zürich  
 Department Biosystems Science and Engineering, Basel  
 Andreas Hierlemann

Slide 21



# Temporal Evolution of Action Potential



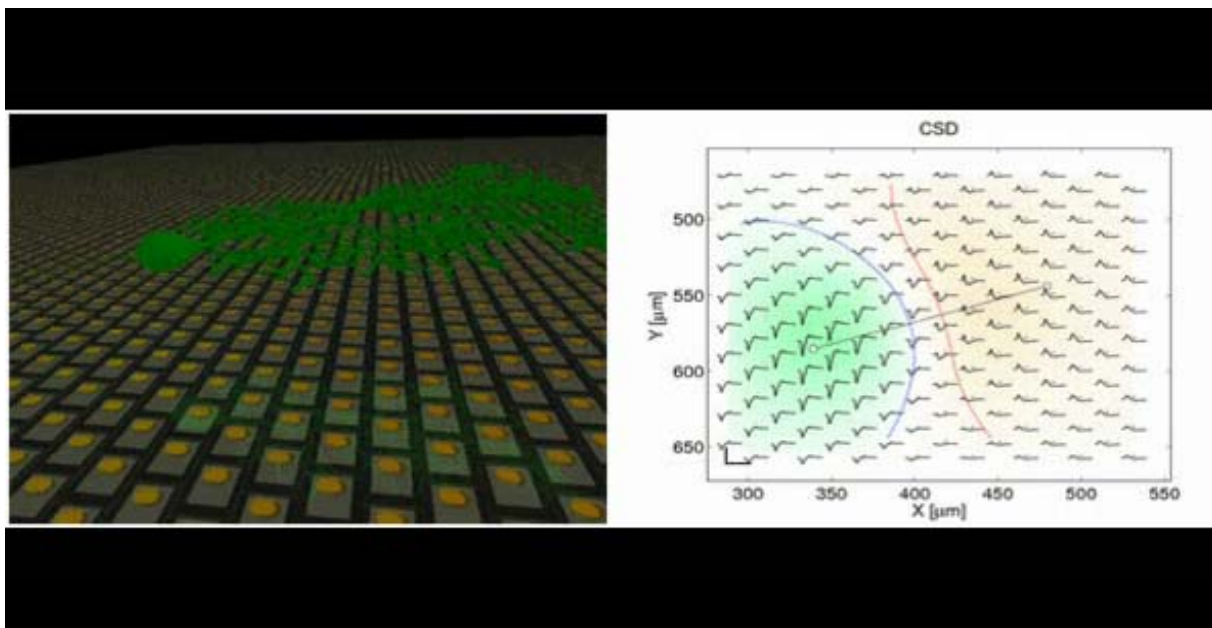
- Dynamic evolution of measured action potential
- Current sources / sinks

Eidgenössische Technische Hochschule Zürich  
 Department Biosystems Science and Engineering, Basel  
 Andreas Hierlemann

Slide 22

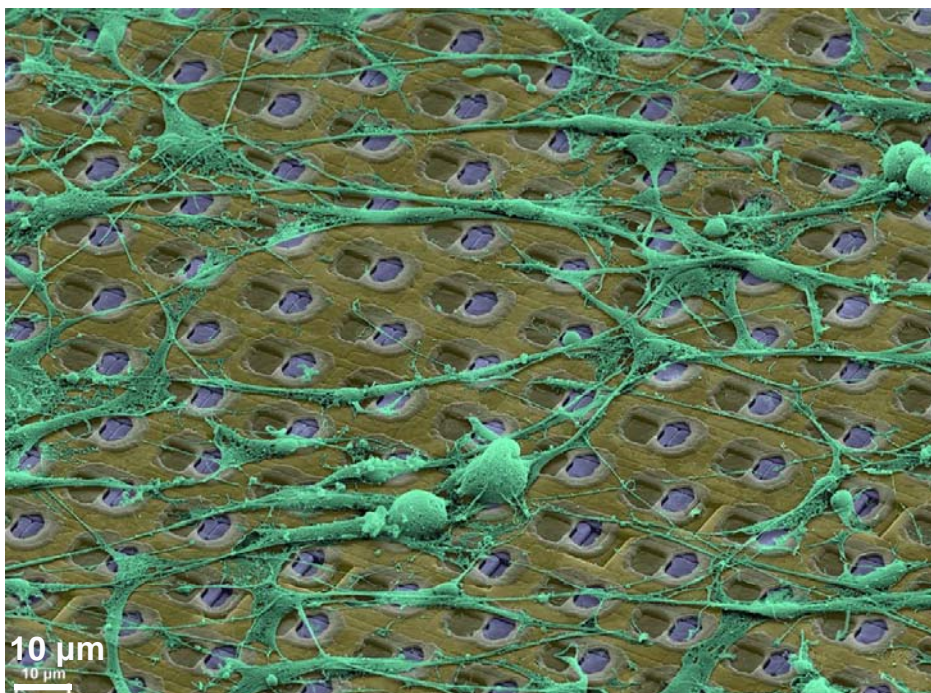


# Temporal Evolution of Action Potential



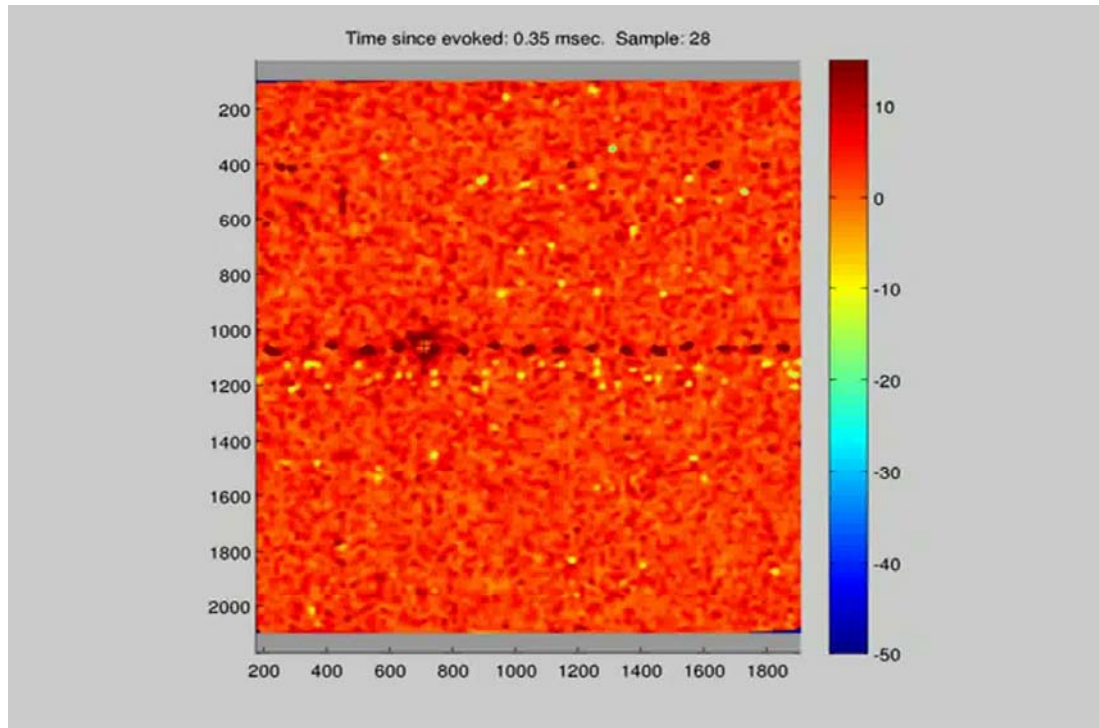
- Current sources / sinks
- Action potential:  $\sim 0.6$  ms

# High-Density Chip: Dissociated Neurons



- 3200 Electrodes per  $\text{mm}^2$
- Pitch: 17  $\mu\text{m}$
- Electrode  $\varnothing$ : 7  $\mu\text{m}$
- DRG neurons (DIV 2)

# Elicited Activity upon Stimulation



Eidgenössische Technische Hochschule Zürich  
Department Biosystems Science and Engineering, Basel  
Andreas Hierlemann

Slide 25



## Summary

- CMOS suitable technology platform to interface with living cells
  - Chips function in biological environment and vice versa
  - Important features:
    - (1) Signal quality: Signal conditioning, A/D conversion on chip
    - (2) Connectivity: Multiplexing to overcome interconnection limitation
    - (3) Ease of use due to integrated functionality
- Bioelectronic systems
  - High temporal and spatial resolution recording capabilities
  - Recording of physiological details at sub-cellular resolution and, at the same time, at network level (dynamic configuration in 1 ms)
  - Use: Fundamentals of information processing or pharmacological testing

Eidgenössische Technische Hochschule Zürich  
Department Biosystems Science and Engineering, Basel  
Andreas Hierlemann

Slide 26

